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ABOUT ETHICSPPOINT

What is EthicsPoint?

EthicsPoint is a comprehensive and confidential reporting tool created by NAVEX Global to assist management and employees of Precigen, Inc. and its affiliate operations (the “Company”) in addressing fraud, abuse, and other misconduct in the workplace, while cultivating a positive work environment.

Why does Precigen, Inc. need a system like EthicsPoint?

- Our employees are our most important asset. By creating open channels of communication, we can promote a positive work environment and maximize productivity.
- Publicly traded companies are required by law to have an anonymous reporting vehicle to address accounting and auditing fraud directly to the audit committee.
- An effective reporting system augments other Company efforts to foster a culture of integrity and ethical decision-making.

GENERAL QUESTIONS

May I report using either the Internet or the telephone?

Yes. With EthicsPoint, you have the ability to file a confidential, anonymous report via either the telephone or the Internet.

What types of situations should I report?



The EthicsPoint system is designed for you to report violations or suspected violations of the Company's policies, the Code of Business Conduct and Ethics, the law or any other concerns you may have.

If I see a violation, shouldn't I just report it to my manager, security, or human resources and let them deal with it?

If you observe behavior that you believe violates the Company's policies, we expect you to report it. Ideally, you should bring concerns forward to your immediate supervisor or manager, or other member of the Company's management team. We recognize, however, that there may be circumstances when you may not be comfortable reporting the issue in this manner. It is for just such circumstances that the Company has partnered with EthicsPoint to encourage you to report anonymously rather than keep the information to yourself.

Why should I report what I know? What's in it for me?

We all have the right to work in a positive environment. Along with this right, we each have a responsibility to act in an ethical manner and to let an appropriate person know if someone else is not acting appropriately. By working together, we can maintain a healthy and productive environment.

Does management really want me to report?

Absolutely. In fact, management encourages you to report. If you have knowledge of an activity that may be a cause for concern, your reporting can minimize the potential negative impact on the Company and its employees. The Company welcomes positive input as well that can help us identify and improve our corporate culture and performance.

Where do these reports go? Who can access them?

All reports, including those given over the telephone, are entered directly on the EthicsPoint secure server to prevent any possible breach in security. EthicsPoint makes these reports available only to specific individuals within the Company who are charged with evaluating the report, based on the type of violation reported to EthicsPoint. Each of these report recipients has had training and is bound by confidentiality to keep these reports in confidence.

SECURITY & CONFIDENTIALITY CONCERNS

I am concerned that if I log a report with EthicsPoint on my Company computer my identity will be revealed. It is my understanding that any report I send from a company computer generates a server log that shows every web-site that my PC connects with, and won't this log identify me as a report originator?

EthicsPoint does not generate or maintain any internal connection logs with IP addresses, so no information linking your PC to EthicsPoint is available. **In fact, EthicsPoint is contractually committed not to pursue a reporter's identity.**

If you feel uncomfortable making a report on your work PC, you are free to use any computer outside the Company's work environment (such as an Internet café, your home computer or one at a friend's house, etc.) to gain access to the EthicsPoint secure website. EthicsPoint's data shows fewer than 12% of reports are generated during normal business hours.

Can I file a report from home and still remain anonymous?

Yes. A report from home, a neighbor's computer, or any Internet portal will remain secure and anonymous. An Internet portal never identifies a visitor by screen name and the EthicsPoint system strips away Internet addresses so that anonymity is totally maintained. **Additionally, as stated above, EthicsPoint is contractually committed not to pursue a reporter's identity.**

I am concerned that the information in the report that I provide to EthicsPoint will ultimately reveal my identity. How can you assure me this will not happen?

The EthicsPoint system is designed to protect your anonymity. However, if you wish to remain anonymous, you - as a reporting party - also need to ensure that the body of the report does not reveal your identity by accident. For example, "From my cube next to Jan Smith..." or "In my 33 years...".

Is the telephone toll-free hotline confidential and anonymous too?

Yes. You will be asked to provide the exact same information that you would provide in an Internet-based report and a live- interviewer will type your responses into the EthicsPoint Web site. These reports have the same security and confidentiality measures applied to them as a report made using the Internet-based system.

What if I want to be identified with my report?

For reports provided over the phone, you may provide your name to the live interviewer if you choose to do so. For reports provided over the internet, there is an optional section in the report for identifying yourself.

TIPS & BEST PRACTICES FOR REPORTING CONCERNS

I am aware of some individuals involved with unethical conduct, but it doesn't affect me. Why should I bother reporting it?

As stated in the Company's Whistleblower Policy, it is the responsibility of all employees to speak up and raise concerns regarding violations or suspected violations of Company policy, the Code of Business Conduct and Ethics or the laws affecting our business. The Company's continued success depends on all employees conducting business ethically and in accordance with Company policies and the law. Unethical conduct, at any level of our organization, ultimately hurts the Company and all of its employees, including you. If you know of any incident of misconduct or other ethical violations, please consider it your duty to the Company, yourself and your coworkers to report it.

I am not sure if what I have observed or heard is a violation of Company policies, or involves unethical conduct, but it just does not look right to me. What should I do?

You should file a report. EthicsPoint can help you prepare and file your report so it can be properly understood and readily determined if a violation of Company policy or unethical behavior has occurred. The Company would rather you report a situation that turns out to be harmless than to possibly permit unethical behavior to continue unchecked because you weren't sure.

What if my boss or other managers are involved in a violation? Won't they get the report and start a cover-up?

The EthicsPoint report distribution system is specifically designed so that any party implicated in a report is not notified or permitted access to a report in which they are named.

**What if I remember something important about the incident after I file the report?
Or what if the Company has further questions for me concerning my report?**

When you file a report through the EthicsPoint Web site, or through the EthicsPoint Call Center, you will receive a unique user name and password. You can return to the EthicsPoint system again either by Internet or telephone and access your original report to add more detail or to answer new questions that have been posed by a Company representative or add further information that will help resolve open issues. We strongly recommend you return to the site within the time specified to answer any additional questions from the Company after you have filed an initial report. EthicsPoint permits you and the Company to enter into an “anonymous dialogue,” where situations are not only identified, but can be resolved.

Are these follow-ups on reports as secure as the first one?

Yes. All EthicsPoint correspondences are held in the same strict confidence and anonymity levels as the initial report.

Can I still file a report if I don’t have access to the Internet?

Yes. You can file an EthicsPoint report from any computer that can access the Internet, including public locations. If you don’t have access to or are uncomfortable using a computer, you can telephone the EthicsPoint toll-free hotline, which is available to you 24 hours a day, 365 days a year.