

PRECIGEN, INC.

WHISTLEBLOWER POLICY

PURPOSE

Precigen, Inc. (the “Company”) is committed to organizational integrity and ethical behavior by helping to foster and maintain an environment where employees can speak up and raise concerns regarding unethical or illegal behavior without the fear of retaliation. This policy outlines the appropriate methods for employees to report ethical or business conduct concerns, and establishes the rights and guaranteed protections for those employees, who in good faith, come forward to report such concerns.

Specifically, Section 301 of the Sarbanes-Oxley Act of 2002 (the “Act”) requires the Company’s Audit Committee to establish procedures for (a) the receipt, retention and treatment of complaints received by the Company regarding accounting, internal accounting controls or auditing matters, and (b) the confidential, anonymous submission by employees of the Company of concerns regarding questionable accounting or auditing matters.

The Audit Committee hereby adopts the following policy and procedures to fulfill the responsibilities of Section 301 of the Act, and to ensure that all concerns are promptly and effectively addressed.

POLICY

It is the responsibility of all employees to speak up and raise concerns regarding violations or suspected violations of company policy, the Code of Business Conduct and Ethics (the “Code”) or the laws affecting our business.

It is the Company’s obligation to ensure that any such concerns, when raised in good faith, will be appropriately investigated and resolved without retaliation.

CODE OF BUSINESS CONDUCT AND ETHICS

The Company’s continued success depends on all employees conducting business ethically and in accordance with company policies and the law. The Company’s Code of Business Conduct and Ethics is the Company’s standard for maintaining an ethical and legally compliant workplace. The Code provides the information, support and resources to help ensure that employees act ethically and in compliance with the laws affecting the business. While the applicable laws may vary, the Code applies to all Company employees throughout the world. All employees are responsible for adhering to the guidelines and following the company’s policies, the regulations and the laws related to their specific job and company location. Failure to comply could result in disciplinary action including possible termination of employment and/or other legal action as warranted. Employees are required to acknowledge annually that they understand and comply with the Code. This includes promptly bringing any known or perceived violations of company policies, the Code or the laws affecting our business to the attention of the appropriate company personnel. Additionally, employees are encouraged to talk to the appropriate company personnel when in doubt about the best course of action for a particular situation.

SPEAKING UP AND RAISING CONCERNS

The Code encourages employees to share their questions, concerns, suggestions or complaints with someone who can address them properly. In most cases, an employee's supervisor is best positioned to address an area of concern. However, if you are not comfortable speaking with your supervisor or if you are not satisfied with your supervisor's response, you are encouraged to raise the concern through any one of the following channels:

- **Member of Management:** This may include your supervisor's supervisor, the head of your department, or any other member of management with whom you feel comfortable speaking. All supervisors and managers are required to report known or suspected violations of the Code to the Company's Chief Legal Officer.
- **Human Resources Department (HR):** The HR department is well situated to assist with confidential, complex or sensitive employee matters. The HR department notifies the Chief Legal Officer of concerns as necessary.
- **Chief Legal Officer (CLO):** The Chief Legal Officer has specific and exclusive responsibility to investigate all reported complaints and allegations concerning violations of the Code and shall report to the CEO and Audit Committee as necessary. The Company's Chief Legal Officer and contact information is:

Donald P. Lehr
20374 Seneca Meadows Parkway
Germantown, MD 20876
Ph: 301-556-9809
Fax: 301-556-9902
Email: DLehr@precigen.com

- **Precigen Compliance AlertLine:** In addition to the above channels, you may report a known or suspected violation through the Company's Compliance AlertLine, a globally available third-party reporting channel for employees, officers, directors, and other interested parties.

PRECIGEN COMPLIANCE ALERTLINE

The Precigen Compliance AlertLine is operated by NAVEX Global, an independent third-party organization that is available to employees, officers, directors and other interested parties 24 hours a day, 7 days a week and 365 days per year. You may report a known or suspected violation using either the NAVEX website or the Call Center as follows:

Website: www.Precigen.Ethicspoint.com

PRECIGEN COMPLIANCE ALERTLINE CALL CENTERS				
<i>Country</i>	<i>Service</i>	<i>Direct Access Number</i>	<i>At English Prompt, Dial:</i>	<i>Language Option</i>
United States		855-840-0194	N/A	English, Spanish (Latin America)
Australia	Optus	1-800-551-155	855-840-0194	English
	Telstra	1-800-881-011		
Belgium		0-800-100-10	855-840-0194	Dutch, French (European), German, English
Brazil	Cellular	0-800-888-8288	855-840-0194	Portuguese (Brazilian), English
	Other	0-800-890-0288		
Canada		855-840-0194	N/A	English, French (Canadian)
Hungary		06-800-011-11	855-840-0194	Hungarian, English
Panama	Panama	800-0109	855-840-0194	Spanish (Latin American), English
	Panama (Spanish Operator)	800-2288		
United Kingdom	C&W	0-500-89-0011	855-840-0194	English
	British Telecom	0-800-89-0011		

CONFIDENTIALITY AND ANONYMITY

You may report a known or suspected violation on a confidential and/or anonymous basis through the Compliance AlertLine. Although the Company encourages employees to identify themselves when calling the Compliance AlertLine, there may be circumstances in which an employee feels more comfortable remaining anonymous. In this circumstance, reporting employees should provide as much information as possible to allow the Company to adequately investigate their concerns. Reporting employees who wish to remain anonymous are encouraged to call back to the Compliance AlertLine after two weeks to respond to any to potential requests for more information or be advised of the status of the investigation. Reports made through channels other than the Compliance AlertLine will be handled with discretion and kept confidential to the extent possible, consistent with the need to conduct an adequate investigation.

NON-RETALIATION

As stated in the Code, it is the Company's policy not to allow retaliation or adverse action against persons making good faith reports of misconduct. This shall include raising or helping to resolve an integrity concern. For purposes of this policy, "good faith" is evident when the report is made without malice or consideration of personal benefit and the employee has a reasonable basis to believe that the report is true. The report does not need to later be proven true to be made in good faith, but it must not be malicious or intentionally false in nature.

Every supervisor has the responsibility to create an environment in which employees can raise business concerns without fear of retaliation. Any employee who believes retaliation has occurred should inform the Chief Legal Officer or Compliance AlertLine immediately.

INVESTIGATION OF RAISED CONCERNS

The Company does not tolerate unethical or illegal acts taken by or against its employees. Allegations of such acts are taken seriously, and will be investigated and pursued to appropriate conclusion in accordance with internal procedures. The Legal Department will manage the investigation and resolution of all reported issues in collaboration with other company resources. The Company will exercise appropriate caution in these matters and will consider potential conflicts of interest and confidentiality issues prior to assigning reported concerns for investigation. Depending on the nature of the report, concerns may be addressed directly by the Legal Department, the Chief Legal Officer, assigned to resources from the Human Resources Department or, as appropriate, another department. If the reported concern can be properly handled by local management, it may be referred there for resolution.

The Chief Legal Officer shall report to the Audit Committee on a regular basis the nature and status of the concerns submitted to him since the last report. The Audit Committee shall review the results of all formal investigations, if any, and determine the corrective action to be taken by management as necessary.

RETENTION OF RAISED CONCERNS

Records pertaining to a concern are the property of the Company and shall be retained (a) in compliance with applicable laws and document retention policies, (b) subject to safeguards that ensure their confidentiality and, when applicable, the anonymity of the person making the report, and (c) in such a manner as to maximize their usefulness to the Company's overall compliance program.

ALLEGATIONS CONCERNING ACCOUNTING OR AUDITING MATTERS

Per the Company's Corporate Governance Guidelines, all concerns regarding accounting, securities law or auditing matters may be communicated to the Audit Committee directly at the below mailing address. All reports of such concerns received by management shall be referred to the Chief Legal Officer, who will submit the concern to the Audit Committee at the next regularly scheduled meeting.

Precigen, Inc.
Attention: Audit Committee
20374 Seneca Meadows Parkway
Germantown, MD 20876

RESOURCES

In addition to the Code, employees may locate this policy on the Company's internal website. Should you have any questions regarding this policy or its implementation, please contact your supervisor, the Chief Legal Officer or the Legal Department at 301-556-9928.

Last revised, February 28, 2020