



# TD Bank Conduct and Ethics Reporting Process

## Speak up. We're listening.

Help us do the right thing.

If you see or suspect unethical or illegal conduct, please speak up.

Coming forward with questions or concerns may sometimes feel like a difficult decision, but we are committed to fostering an environment that does not deter individuals from speaking up when they observe conduct that may violate our Code of Conduct, our policies, or the laws and regulations applicable to our business.



You are protected from any form of retaliation as a result of having made a complaint, in good faith.  
TD takes this anti-retaliation commitment very seriously.

## What to expect when you report a concern?

1

There are multiple ways to report, you can: **Report Online, By Phone** or **Scan the QR code** to report a concern via mobile devices.

2

All reports received are reviewed and when necessary, routed to the appropriate group to address your concerns.

3

After raising your concern, you will be given a report key and asked to create a personal password, which will allow you to view comments and questions, follow up on the report and provide additional information.

4

You will be notified when the review is concluded.  
Please use your saved report key and password to access the updates through the follow up section.