## EthicsLine

## What happens when you report a concern?

## You are protected.

As stated in our Speak Up and Nonretaliation policy, Wells Fargo strictly prohibits retaliation or any adverse employment action, as a result of an employee speaking up.

1. You have options.	<ul> <li>While there are multiple ways to Speak Up, the EthicsLine allows you to:</li> <li>Anonymously* report a concern or identify yourself if you choose.</li> <li>Report your concern online or by phone using the number listed for your country.</li> </ul>
2. You receive confirmation.	<ul> <li>Save your assigned EthicsLine report key and password in a safe place. You will not be able to obtain this information from the Ethics Office.</li> <li>If you shared your contact information, expect a <u>follow-up</u> call or email for most matters.</li> <li>If you reported anonymously, call back or follow up on the <u>EthicsLine</u> site after 5 business days.</li> </ul>
3. Your report is assigned.	<ul> <li>Based on the type of concern, it's assigned to the appropriate group.</li> <li>Your concern is researched, investigated, and resolved.</li> <li>The length of time required to research, investigate, and resolve a matter depends on its complexity.</li> </ul>
4. You can add details or check for an update.	<ul> <li>Return with your report key and password to the <u>follow-up</u> section of the <u>EthicsLine</u> website or use the phone option to do the following: <ul> <li>Add information to your report or upload files regardless of whether your original report was by phone or online.</li> <li>If reported anonymously check for follow-up questions from your assigned investigator after 5 business days.</li> </ul> </li> <li>If you provided contact information, you may receive updates by phone or email depending on the nature of your concern.</li> </ul>
5. Your report is closed.	<ul> <li>For reports with contact information on file, you may be informed when your report is closed.</li> <li>For anonymous reports, report closure information may be available online or telephonically using the assigned report key and password.</li> <li>To preserve the confidentiality of all involved, you may not receive details regarding the findings or actions taken.</li> </ul>

If you have any questions about reporting concerns, please contact the Ethics Office Ethics@wellsfargo.com.

\*where permitted by local laws and regulations.

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