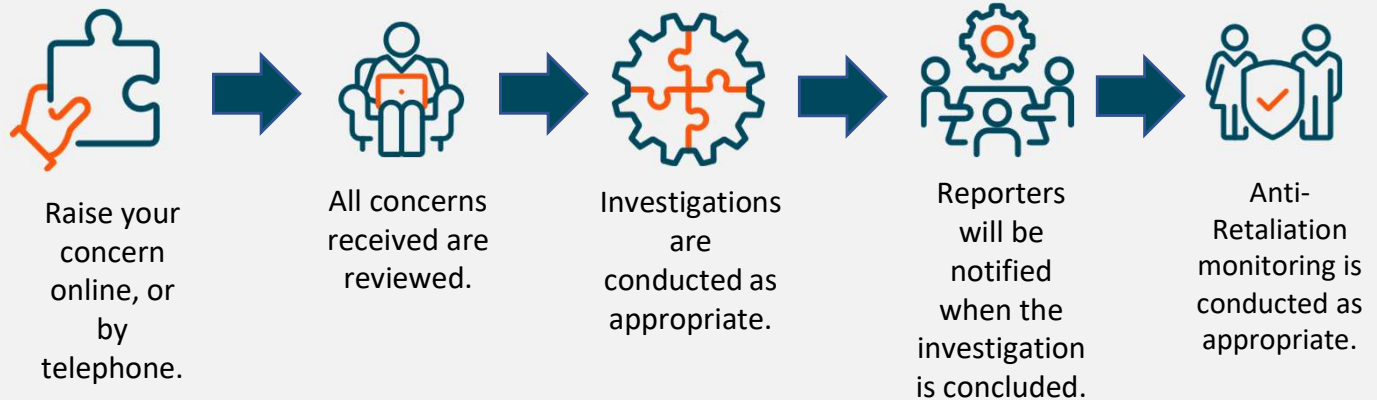


## What to Report as a Concern

The Ethics Hot Line is intended to be used only for reporting concerns, activity or conduct that you believe may be unethical, illegal, or place BNY Mellon's reputation at risk. It does not replace the other methods employees or other stakeholders have traditionally used to communicate with the company.

## What Happens When You Report



## Things to Know

- **Escalate with Confidence:** *If you are a BNY Mellon employee submitting a report, you are protected from retaliation as long as the report you file is in "good faith". Retaliation against any employee making a "good faith" report will not be tolerated.*
- **Updates and Information:** *You will be issued a Report Key after raising your concern – keep this number for future use. It will provide you with the ability to receive messages from the investigative team, and to provide additional information regarding your concern as necessary.*
- **In Short:** *Issues reported via Ethics Point are assessed and investigated, as appropriate. Investigations are conducted using centralized resources within BNY Mellon, with appropriate involvement at a local legal entity level, where required, if the reporter chooses to direct their report to a local legal entity.*