

## LISTEN UP. SPEAK UP.

We call out behaviors that don't align with our values, conduct, and culture.

### 1 RAISE YOUR CONCERN



Make a report through MUFG Integrity Line via phone call, online, or mobile application. Concerns are confidential and can be anonymous. MUFG has a very stringent non-retaliation policy that protects employees from retaliation when reporting concerns. It's ok if you don't have all the details, share as much as you can.

### 2 DISPOSITION



An Ethics/Compliance Officer will review your case and assign it to an investigating group. Be sure to write down the report key and password to revisit the case for updates and possible follow up questions from the Ethics/Compliance Office.

### 3 REVIEWING YOUR CASE



The investigating partner will investigate the concern raised. The Ethics/Compliance Office will follow up with the partner for regular updates to be communicated to the reporter via EthicsPoint.

### 4 COMPLETION



Your case will be completed and closed in EthicsPoint. MUFG has thoroughly researched the information you provided, and although privacy considerations limit our ability to share details with you, please know that MUFG has appropriately addressed the Issue(s) you reported.

**FOR MOBILE REPORTS, SCAN THE QR CODE WITH YOUR DEVICE:**



### ANTI RETALIATION



MUFG strictly prohibits retaliation of any kind against individuals who report in good faith suspected violations of MUFG policy, laws or regulations. If you believe you or any other colleague has been subjected to retaliation for reporting a concern to the Integrity Line, please contact your supervisor (of if your direct supervisor is involved, to a more senior manager in your department, Employee Relations/Human Resources or submit another report to the Integrity Line.